



# Cabinet (Resources) Panel

## 26 November 2013

<b>Report Title</b>	Capital Programme 2013/14 to 2017/18 Quarter Two Review	
<b>Decision designation</b>	RED/AMBER	
<b>Cabinet Member with Lead Responsibility</b>	Councillor Andrew Johnson Resources	
<b>Key Decision</b>	Yes	
<b>In Forward Plan</b>	Yes	
<b>Wards Affected</b>	All	
<b>Accountable Strategic Directors</b>	Simon Warren, Chief Executive Sarah Norman, Community Keith Ireland, Delivery Tim Johnson, Education and Enterprise	
<b>Originating service</b>	Strategic Finance	
<b>Accountable employee(s)</b>	Mark Taylor Tel Email	Assistant Director Finance 01902 55(6609) <a href="mailto:Mark.Taylor@wolverhampton.gov.uk">Mark.Taylor@wolverhampton.gov.uk</a>
<b>Report has been considered by</b>	Strategic Executive Board	14 November 2013

### Recommendation(s) for action or decision:

The Cabinet is recommended to:

- (i) Approve for Council recommendation the revised medium term General Fund (excluding housing) capital programme of £290.8 million for the period 2013/14 to 2017/18. Including General Fund private sector housing, the total General Fund capital programme is £310.5 million (paragraph 2.2);
- (ii) Approve virements totalling £3.8 million as set out at Appendix E, in respect of ICT Developments, Electric Vehicle Charging Points, I54 Travel Plan, Block 10 & 11 Wolverhampton Interchange and Youth Zone and (paragraph 4.4);

- (iii) Approve additional resources for existing schemes totalling £11.0 million, as set out in Appendix F (paragraph 4.5);
- (iv) Approve additional resources for new schemes totalling £1.6 million, as set out in Appendix G (paragraph 5.1);
- (v) Approve the 2013/14 updated schedules of works for the following schemes;
  - (a) Appendix L1: Delivery ICTS capital programme;
  - (b) Appendix L2: Education and Enterprise Corporate Asset Management, and
  - (c) Appendix L3: Schools Skills & Learning Capital Maintenance and Basic Needs Grant.
- (vi) Approve the re-allocation of the Structural Maintenance rolling programme budget, above the level of delegated authority. The budget for Deyncourt Road will increase from £133,000 to £310,000, as set out in Appendix C.

**Recommendations for noting:**

The Cabinet is asked to note:

- (i) A medium term budget of £232.3 million for the Housing Revenue Account (HRA) and £19.7 million for the General Fund private sector housing budget. This is due for separate approval by Cabinet on the 26<sup>th</sup> November 2013 (paragraph 8);
- (ii) Virements totalling £3.0 million, as set out in Appendix E in respect of Canalside Quarter, High Street Link and Expansion of Primary School Places (paragraph 4.4);
- (iii) Additional resources for new schemes totalling £19.4 million, as set out in Appendix G (paragraph 5.3)

## CAPITAL PROGRAMME 2013/14 TO 2017/18 QUARTER TWO

### 1. Purpose of Report

- 1.1 To provide Cabinet Members with an update on the financial performance of existing schemes within the capital programme as at quarter two of 2013/14.
- 1.2 To recommend a revised General Fund (excluding housing) capital programme for the period 2013/14 to 2017/18.

### 2. Executive Summary

- 2.1 At its meeting on 25<sup>th</sup> June 2013, Council approved a revised General Fund (excluding housing) capital programme totalling £260.8 million, covering the period 2013/14 to 2017/18.
- 2.2 This report recommends variations to the approved programme totalling £30.0 million as set out in table 1 below, bringing the total revised capital programme to £290.8 million.
- 2.3 Detailed variations from approved budget are set out in Appendices A-G attached and summarised in paragraphs 3 to 6.

**Table 1: Summary of recommended changes to the General Fund (excluding housing) capital programme expenditure**

	Paragraph	Appendix	£000
<b>Current budget</b>			260,839
Budget on completed schemes	3	A	(60)
Budget on existing schemes no longer required	4	D	(1,946)
Additional resources for existing schemes	5	F	11,046
New schemes	6	G	20,920
<b>Revised budget</b>			290,799

### 3. Completed Schemes

- 3.1 Appendix A sets out schemes completed as of 2013/14. There was no expenditure against the budget of £60,000 for Wednesfield Way (Major Transport Scheme) which leaves an approved budget no longer required and can be deleted.

### 4. Existing Schemes

- 4.1 Appendix B provides an update on the financial performance of existing schemes. The current forecast variance up to completion is a £4.3 million overspend. Actual expenditure as at quarter two of 2013/14 stands at £40.3 million, which represents 15% of the current approved budget.

- 4.2 Appendix C details amendments that are required to existing schemes. The financing for these projects is already assumed within the medium term capital programme. This includes the re-allocation of the structural maintenance budget above the level of delegated authority.
- 4.3 Appendix D details an underspend forecast for existing schemes of £2.0 million, for which the budget is no longer required. £1.8 million is due to reduced grant income and £170,000 will result in a reduced borrowing requirement.
- 4.4 Requests for virements between schemes are detailed in Appendix E. These represent the transfer of budget between capital projects. This totals an amount of £6.8 million, £3.0 million of which has been previously approved.
- 4.5 Requests for additional resources totalling £11.0 million are detailed in Appendix F. Key variations are as follows:
- (a) £2.7 million for the i54 Access and Infrastructure Programme financed by £1.1 million of external resources and £1.6 million of internal resources;
  - (b) £1.4 million for the Local Sustainable Transport Fund financed by external resources;
  - (c) £1.9 million for Transport Schemes including Integrated Transport, Local Safety Schemes and Walking, Cycling and Safer Routes to Schools financed by external resources;
  - (d) £4.3 million for the Building Schools for the Future (BSF) Programme financed by £1.0 million of external resources and £3.3 million of internal resources;
  - (e) £0.7 million for the Schools Devolved capital programme financed by external resources, and
  - (f) £10,000 for the Parks Refurbishment Programme financed by internal resources.
- 4.6 The additional £11.0 million will be financed through £6.1 million of additional external resources, which include grant contributions and contributions from other Local Authorities and £4.9 million through internal resources, which includes prudential borrowing and capital receipts.

## **5. New Schemes**

- 5.1 Requests for additional resources totalling £1.6 million to finance new schemes are set out in detail in Appendix G and can be summarised as follows:
- (a) £1.4 million for the i54 Travel Plan financed by external resources;
  - (b) £150,000 for the Black Country Reinvestment Society (BCRS) to be financed by internal resources. The expenditure was approved by Cabinet on 5<sup>th</sup> March 2013, but a change in financing is required.
- 5.2 The total value of new schemes requiring approval is £1.6 million. £1.4 million of which will be financed through external resources, with the balance of £200,000 being financed through internal resources.

5.3 In addition, Appendix G sets out new schemes as follows which have been previously approved and which total £19.4 million, financed through internal resources:

- (a) £7.6 million for Block 10 Wolverhampton Interchange, financed by internal resources. This was approved by Cabinet on 18<sup>th</sup> September 2013.
- (b) £11.8 million for the Expansion of Primary School Places financed by internal resources. This was approved by Cabinet (Resources) on 24<sup>th</sup> September 2013.

## 6. Ancillary Programmes

6.1 Ancillary 2013/14 schedules of works are detailed in Appendix L to provide further analysis against schemes included within the overall programme. These include;

**Appendix L1** - Delivery: ICTS capital programme

This includes the main programme, desktop refresh and disaster recovery schemes.

**Appendix L2** - Education and Enterprise: Corporate Asset Management

Original approval was provided within a report to Cabinet (Resources) on 21<sup>st</sup> May 2013.

**Appendix L3** - Education and Enterprise: Capital Maintenance and Basic Needs Grant

## 7. Programme Financing

7.1 A profile of forecast expenditure by financial year, reflecting the changes to budget recommended in this report, is shown in Appendix H.

7.2 The Programme is financed through internal and external resources. External resources reflect funding secured from external organisations e.g. Central Government. Internal resources reflect expenditure that requires the use of council resources and over which the council therefore has absolute discretion. Capital receipts are only assumed where there is reasonable certainty that they will be received within the required timeframe. The implications of the levels of borrowing required have been fully reflected in the revenue budget and medium term financial strategy.

7.3 Details on the financing of the revised capital programme are shown in Appendix I, with a graphical representation in Appendix J. The changes are summarised below:

**Table 2: Summary of recommended changes to financing of the General Fund capital programme**

	<b>Approved Budget £000</b>	<b>Recommended Budget £000</b>	<b>Variance £000</b>
<b>Expenditure</b>	<b>260,839</b>	<b>290,799</b>	<b>29,960</b>
<b>Financing</b>			
Internal Resources			
Capital Receipts	1,935	2,811	876
Prudential Borrowing	120,750	146,529	25,779
Revenue Contributions	853	1,251	398
Reserves	35	35	-
<b>Subtotal</b>	<b>123,573</b>	<b>150,626</b>	<b>27,053</b>
External Resources			
Supported Borrowing	-	-	-
Grants & Contributions	137,266	140,173	2,907
<b>Subtotal</b>	<b>137,266</b>	<b>140,173</b>	<b>2,907</b>
<b>Total</b>	<b>260,839</b>	<b>290,799</b>	<b>29,960</b>

7.4 There are several schemes which are funded through capital receipts in the long term. However, due to uncertainty around the timing of receipts, they have not been assumed within the capital programme. As a result, Prudential Borrowing will be incurred, until such a time that these receipts are received. A summary of the receipts that are assumed and not assumed within the programme is shown in Appendix L.

7.5 Ernst and Young are currently advising on the level of VAT claimable from HMRC in relation to Voluntary Aided Schools, in particular the Kings and Tettenhall Wood scheme. It has been assumed that there is £3.7 million of irrecoverable VAT which, once the final figure is confirmed, would have to be met from within the BSF programme.

## 8. Housing capital programme

8.1 The Housing Revenue Account Business Plan Quarter Two 2013/14 detailed a budget of £232.3 million and a General Fund private sector housing budget of £19.7 million. This report is also presented to Cabinet on 26<sup>th</sup> November 2013.

## 9. Key Budget Risks

9.1 Appendix M provides an analysis of the risks associated with the capital programme, along with details of the risk control measures that are in place in order to manage and mitigate these risks as far as possible. The overall risk associated with the Programme continues to be quantified as Amber.

## **10. Financial Implications**

10.1 The financial implications are discussed in the body of this report.

[SM/11112013/D]

## **11. Legal Implications**

11.1 Section 151 of the Local Government Act 1972 requires local authorities to make arrangements for the proper administration of their financial affairs.

11.2 The Local Government Act 2003 brought in the current regime for capital finance for local authorities. It reduced the level of central control over local authority borrowing and capital expenditure.

11.3 The Council is required to comply with statutory codes of practice including the Prudential Code for Capital Finance in Local Authorities and Treasury Management in the Public Services.

11.4 The legal framework therefore places a greater responsibility on Cabinet Members to ensure properly managed borrowing and capital expenditure without the need for government consent.

11.5 The main principles of the framework are prudence, sustainability and affordability.

[JH/071113/I]

## **12. Equalities Implications**

12.1 Under the Equality Act 2010, the Council has a statutory duty to pay due regard to the impact of how it carries out its business on different groups of people. This is designed to help the Council identify the particular needs of different groups and reduce the likelihood of discrimination; the nine equality strands covered by the legislation are;

- Age
- Disability
- Gender reassignment
- Pregnancy and Maternity
- Religion or Belief
- Race
- Sex
- Sexual Orientation
- Marriage and Civil Partnership (this strand only applies to employment and not to service delivery).

These strands include everyone.

12.2 Cabinet Members should also be aware that under the Equality Act 2010, they must have due regard to the Public Sector Equality Duty when making budget decisions. What this means in practice is that Cabinet Members must consciously think about the

three aims of the Public Sector Equality Duty as part of the decision making process, the three aims are to have due regard to the need to:

- Eliminate discrimination, harassment and victimisation
- Advance equality of opportunity by removing or minimising disadvantages, meet differing needs and encouraging participation;
- Foster good relations between people from different groups.

12.3 Consideration of equality issues must influence the decisions reached by public bodies including:

- How they act as employers.
- How they develop, evaluate and review policy.
- How they design, deliver and evaluate services.
- How they commission and procure from others.

12.4 The Brown Principles, established as a result of a legal case concerning Post Offices closures in 2008, provide an important checklist when making decisions:

- Decision makers must be made aware of their duty to have due regard to the identified goals.
- Due regard must be fulfilled before and at the time that a particular decision is being considered, not afterwards.
- The duty must be exercised in substance, with rigour and with an open mind. It is not a question of ticking boxes.
- The duty is not delegable; it must be fulfilled by the organisation in question rather than through the use of an external body to do it to the organisation.
- The duty is a continuing one.
- It is good practice to keep an adequate record showing that it has considered the identified needs.

12.5 There is a range of individual projects delivered through the Council's capital programme that have significant impacts on specific groups and equality implications should be considered when individual capital schemes are being developed.

12.6 This requirement would also apply if there were to be any redirection of capital funding in-year so as to ensure that the impact of any changes is considered.

### **13. Environmental Implications**

13.1 A wide range of projects delivered through the capital programme have significant environmental implications and are geared to promote improvements to the physical environment.

### **14. Background Papers**

- Black Country Reinvestment Loan Fund – Report to Cabinet, 5<sup>th</sup> March 2013;
- Corporate Asset Management Fund - Approval of Schemes 2013/14 - Report to Cabinet (Resources), 21<sup>st</sup> May 2013;



- Transportation Capital Programme 2012/13 and Future Years: Structural Maintenance – Report to Cabinet, 30<sup>th</sup> May 2012;
- Capital Resources for City Centre and Bilston regeneration priorities – Report to Cabinet, 11<sup>th</sup> September 2013;
- Facilitating Regeneration in the Canalside Quarter – Report to Cabinet, 13<sup>th</sup> November 2013;
- Block 10 and 11 Wolverhampton Interchange - Report to Cabinet, 18<sup>th</sup> September 2013 and Council;
- 2014 Primary School Expansion Programme – Report to Cabinet Resources, 24<sup>th</sup> September 2013, and
- Housing Revenue Account Business Plan Quarter Two 2013/2014 Budgets – Report to Cabinet Resources, 26<sup>th</sup> November 2013.

## 15. Schedule of Appendices

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**Completed Schemes**

<b>Scheme</b>	<b>Start Date</b>	<b>End Date</b>	<b>Budget £000</b>	<b>Actual Expenditure £000</b>	<b>Variance £000</b>	<b>Comments</b>
Wednesfield Way (Major Transport Scheme) - Provision for land and compensation payments		01/03/2013	60	-	(60)	This budget was set up for a compensation payment, but is no longer required. If the claim does materialise, the budget will be obtained from within other resources in the Regeneration Directorate.
<b>Total</b>			<b>60</b>	<b>-</b>	<b>(60)</b>	

Existing Schemes

Assistant Director	Scheme	Start Date	End Date	Spend to date	Total Budget	Forecast	Forecast		
				(as at end of September 2013)	(2013/14 -2017/18)	Expenditure	Variance		
				£000	£000	£000	£000		
<b>DELIVERY</b>									
Chris Huddart	Bereavement Services	Bushbury Cemetery Extension	22/06/2011	31/03/2014	21	15	21	6	
		Bushbury Cremator Replacement	28/11/2009	31/03/2014	-	62	62	-	
	Fleet Services	Vehicles (Procurement)	01/04/2012	31/03/2014	-	10,172	10,172	-	
		Passenger Transport relocation to Wholesale Market	01/12/2012	31/03/2014	7	23	23	-	
		White Diesel Fuel Tank at Culwell Street	01/05/2013	31/03/2014	-	32	32	-	
	Markets Services	WRM - Statutory works to upgrade electrics	01/07/2012	31/03/2014	4	112	112	-	
		WRM - Automatic Entrance Doors	01/04/2012	31/12/2013	1	-	1	1	
		WRM - Market Stall Roof Coverings	01/04/2012	31/03/2014	2	9	9	-	
		WRM - Refurbishment of Public Toilets	01/04/2012	31/03/2014	4	5	5	-	
		<u>Bilston Retail Market</u>							
		BRM - Air Conditioning Upgrade	01/04/2013	31/03/2014	-	204	204	-	
		BRM - Statutory works to upgrade electrics	01/04/2013	31/03/2014	-	339	339	-	
		BRM - Resurfacing works to Loading Bay	01/04/2012	31/03/2014	-	3	3	-	
		BRM - Market Stall Roof Coverings	01/04/2012	31/12/2013	11	-	11	11	
		BRM - Removal of Skylights	01/04/2013	31/03/2014	2	22	22	-	
		<u>Wolverhampton Wholesale Market</u>							
		WWM - Refurbishment of Toilets	01/07/2012	31/03/2014	-	48	48	-	
		WWM - Structural Works	01/07/2012	31/03/2014	10	70	70	-	
		WWM - Resurfacing works to External Market area	01/07/2012	31/03/2014	1	165	165	-	
	<u>Wednesfield Market</u>								
	WM - Statutory works to upgrade electrics	01/03/2013	31/03/2014	-	22	22	-		
	Waste & Recycling	Phase 2 of the waste & recycling service reconfig		31/03/2015	-	306	306	-	
	Alistar Merrick	Energy Management	Installation of Photovoltaic Panels (Invest to Save)	01/10/2013	30/09/2014	-	1,000	1,000	-
Installation of Biomass Boilers (Invest to Save)			01/10/2013	30/09/2014	100	1,500	1,500	-	
Energy Efficiency Measures			01/07/2010	Ongoing	47	1,233	1,233	-	
Facilities Management		Future Spaces		31/03/2015	-	20,120	20,120	-	
		Civic Centre Car Park Repairs		31/03/2016	-	1,450	1,450	-	
ICT Developments		<u>General Programme</u>							
		1001 - Security Enhancement	01/04/2013	31/03/2014	75	351	351	-	
		1002 - Refresh	01/04/2013	31/03/2014	252	1,254	1,254	-	
		1003 - Storage Refresh	01/04/2013	31/03/2014	598	756	756	-	
		1004 - Upgrades	01/04/2013	31/03/2014	29	1,032	1,032	-	
	1005 - Infrastructure Upgrades	01/04/2013	31/03/2014	186	1,298	1,398	100		
	1006 - Data Centres	01/04/2013	31/03/2015	1	197	197	-		
	Desktop Refresh	01/04/2013	31/03/2015	-	2,003	2,003	-		
Disaster Recovery	01/04/2013	30/09/2014	27	814	714	(100)			
Mark Taylor	Cross Cutting Schemes	Provision for Future Programmes			-	2,000	1,981	(19)	
	FutureWorks	System Implementation	26/04/2013	31/12/2014	-	2,700	2,700	-	

Assistant Director	Scheme	Start Date	End Date	Spend to date	Total Budget	Forecast	Forecast	
				(as at end of September 2013)	(2013/14 -2017/18)	Expenditure	Variance	
				£000	£000	£000	£000	
<b>COMMUNITY</b>								
John Welsby	Co-location Programme	Graiseley Centre MAST	01/11/2013	31/03/2014	-	54	54	-
		Warstones Primary MAST	01/11/2013	31/03/2014	1	-	1	1
		Priory Green MAST	01/11/2013	31/03/2014	29	-	29	29
		Berrybrook MAST	01/11/2013	31/03/2014	-	35	35	-
		Wednesfield Housing Office MAST	01/11/2013	31/03/2014	44	105	105	-
		SWBA (Parkfields Temp) MAST	01/11/2013	31/03/2014	2	53	53	-
		Eastfield Primary IWA	01/11/2013	31/03/2014	-	3	3	-
		Highfield / Penn Fields IWA	01/11/2013	31/03/2014	-	19	19	-
		Kings / Tettenhall Wood IWA	01/11/2013	31/03/2014	-	26	26	-
		Bushbury Hill Primary IWA	01/11/2013	31/03/2014	-	35	35	-
		Oak Meadow Primary IWA	01/11/2013	31/03/2014	-	15	15	-
		MAST - New Schemes	01/11/2013	31/03/2015	-	251	251	-
		Early Education for two year olds from lower income households	01/01/2014	31/08/2014	-	661	661	-
Looked After Children	Residential Care (Integrated Placement Scheme) at Zoar Street				435	435	-	
Children In Need	Short Breaks for Disabled Children	01/04/2013	31/03/2014	-	149	149	-	
Tony Iuko	Adult Social Care Commissioning	Residue on Capital Investment in Community Capacity	01/12/2013	01/04/2014	-	838	838	-
	Independent Living Service	Works to the Neville Garratt Centre and Titan Building ILS - Titan Building Improvement	01/12/2013	01/04/2014	-	89	89	-
Emma Bennett	Sport, Recreation & Leisure	Bert Williams Leisure Centre	01/06/2010	01/03/2014	4	60	60	-
	Sports Investment Strategy	Barnhurst Land Pitches	01/04/2012	31/03/2015	332	2,553	2,553	-
		Synthetic Pitch at Our Lady & St Chads	01/09/2012	31/03/2014	-	994	994	-
		Aldersley Synthetic Pitch	01/05/2012	31/03/2014	5	283	283	-
	Community Recreation	Blakenhall Centre	01/04/2008	31/03/2014	-	13	13	-
		Community Hubs	01/09/2012	31/08/2014	87	4,049	4,049	-
	Parks Strategy & Open Spaces	Parks Refurbishment Programme	01/04/2014	31/03/2014	467	2,316	2,326	10
	Community Initiatives	Community Initiatives Contingency			-	30	30	-
	Information Systems Management	Social Care IT Infrastructure	01/04/2012	31/03/2014	-	10	10	-
		ABR/ABMN Replacement	01/04/2012	31/03/2014	-	4	4	-
Putting People First Programme		01/04/2012	31/03/2014	-	71	71	-	
Cross Cutting Schemes	Electronic Social Care Records	01/02/2012	31/03/2015	-	718	568	(150)	
Viv Griffin	Children's Social Care - Commissioning	Review of Children's Homes	01/12/2013	01/05/2014	-	900	900	-
	Adult's Social Care - Commissioning	Sweetman Street Redevelopment	01/06/2012	31/03/2014	1	-	1	1
		Relocation of Disability Team From Pond Lane	01/12/2013	01/04/2014	-	50	50	-
	Learning Disability	Modernisation of Learning Disability Day Services	01/10/2013	31/03/2016	-	60	60	-

Assistant Director	Scheme	Start Date	End Date	Spend to date		Total Budget (2013/14 - 2017/18)	Forecast Expenditure	Forecast Variance
				(as at end of	September 2013)			
				£000	£000	£000	£000	
<b>EDUCATION &amp; ENTERPRISE</b>								
Nick Edwards	Regulatory Services	Bowman's Harbour - Former Landfill Sites	27/06/2005	31/03/2014	2	639	639	-
		Site Remediation Farndale	27/06/2005	31/03/2017	0	5,201	5,201	-
		Contaminated Land - Weddell Wynd		31/12/2013	4	-	4	4
		Canalside Quarter	01/01/2011	30/06/2014	-	600	1,200	600
	Planning	Chapel Ash and Darlington Street Partnership	01/04/2009	31/03/2014	4	70	70	-
	Physical Regeneration	Bilston Urban Village	01/06/2012	31/03/2016	-	5,000	5,000	-
		BUV - High Street Link	01/06/2012	01/06/2014	697	1,484	2,334	850
		Demolition of Bilston Leisure Centre/ Former Day Centre		31/12/2013	22	-	22	22
		Land Acquisitions - Crateford Lane	01/04/2009	31/12/2013	1	-	1	1
		City Centre	01/04/2012	01/03/2015	-	6,000	3,150	(2,850)
		Youth Zone	21/05/2013	30/09/2015	-	3,000	3,300	300
		City Deal	01/04/2014	31/03/2016	-	1,500	1,500	-
		South Side	01/06/2012	31/03/2015	223	6,616	4,716	(1,900)
	Corporate Asset Management	Central Library - Electrical Systems & DDA Works		31/12/2013	-	6	6	-
		Rationalisation Initiatives	01/04/2012	01/11/2013	10	30	10	(20)
		Planned Programme of Enhancements	01/04/2011	31/03/2017	11	1,301	1,301	-
		Minor Works Programme for Childrens' Social Care	01/04/2011	31/03/2017	-	408	408	-
		Minor Works Programme for Adults' Social Care	01/04/2011	31/03/2017	4	431	431	-
		Refurbishment of Corner House	01/04/2012	01/11/2013	-	36	36	-
		Statutory Compliance Measures	01/04/2011	31/03/2017	22	955	955	-
	Targeted Disposals Programme		01/04/2012	31/03/2015	3	1,866	1,866	-
	Vacation & Disposal of Jennie Lee Centre		01/09/2011	01/03/2014	79	204	204	-
	Redevelopment of Tower & Fort Works Site		01/04/2012	31/10/2014	-	688	688	-
	Transportation Strategy & Development	Wolverhampton City Centre Interchange	01/09/2010	31/03/2014	63	2,092	2,092	-
		i54 Access and Infrastructure	01/03/2012	01/06/2014	1,290	17,889	20,194	2,305
		West Midlands Urban Traffic Control	01/04/2009	31/03/2015	743	6,260	6,260	-
		West Midlands Red Routes - Package 1	01/04/2007	31/03/2014	-	93	93	-
		Local Sustainable Transport Fund	01/09/2012	31/03/2015	63	570	1,982	1,412
		Structural Maintenance	on going annual programme	on going annual programme	1,082	11,636	11,636	-
	Network Development Programme	City Centre Transport & Movement Enhancements	01/01/2013	01/12/2015	26	4,883	4,883	-
		Local Safety Schemes	on going annual programme	on going annual programme	41	500	1,490	990
		Integrated Transport	on going annual programme	on going annual programme	1,552	7,163	7,664	501
Walking, Cycling, Safer Routes to Schools & Minor improvements		on going annual programme	on going annual programme	15	355	755	400	

Assistant Director	Scheme	Start Date	End Date	Spend to date	Total Budget	Forecast	Forecast
				(as at end of	(2013/14 - 2017/18)	Expenditure	Variance
				September 2013)	£000	£000	£000
<b>EDUCATION &amp; ENTERPRISE</b>							
James Mcelligott	Neighbourhood Employment & Skills	28/05/2013	31/12/2013	22	126	126	-
	Capital Maintenance & Basic Need						
	Planned Maintenance	01/04/2012	31/03/2014	60	9	165	156
	Asbestos Removal	01/04/2012	31/03/2015	20	222	222	-
	Boiler/Pipework Replacements	01/04/2012	31/03/2014	321	1,090	938	(152)
	Roof/Window/Lighting Replacements	01/08/2012	31/03/2014	287	801	636	(165)
	Toilet Refurbishment	01/08/2012	31/03/2014	61	80	80	-
	External Works	01/08/2012	31/03/2014	-	33	33	-
	Ground Works	01/06/2012	31/03/2014	-	170	4	(166)
	St Peter's / St Edmund's Access Road		31/03/2014	-	15	15	-
	Emergency Gas Pipework Repair		31/12/2013	7	-	7	7
	Contingency for Emergency works across all schools	on going annual programme	on going annual programme	1	746	353	(393)
	School Development Plans	01/08/2012	31/03/2014	106	805	755	(50)
	Fire Prevention		31/03/2014	34	314	174	(140)
	Schools Access		31/03/2014	-	245	245	-
	Balance of 2012/13 Grant			4	-	4	4
	Amalgamation	01/06/2011	31/12/2013	3	-	3	3
	Wilkinson Primary	01/06/2012	31/12/2013	3,427	5,137	5,137	-
	Unallocated			-	19,705	17,929	(1,776)
	Capital Maintenance	01/02/2014	31/08/2014	-	495	517	22
	Bilston CE Primary - Expansion of Primary School Places	20/07/2013	31/03/2014	15	1,228	1,528	300
	Eastfield Primary - Expansion of Primary School Places	01/07/2012	31/03/2014	-	7	7	-
	St Andrew's CE Primary - Expansion of Primary School Places	01/04/2013	31/03/2014	161	482	482	-
	Northwood Park Primary - Expansion of Primary School Places	01/07/2012	31/03/2014	167	178	456	278
	Capital Maintenance Grant 2013/2014 - used to finance any in year schools maintenance items		31/03/2014	-	1,588	316	(1,272)
	Schools Devolved Formula Capital	on going annual programme	on going annual programme	-	2,912	3,598	686
	Building Schools for the Future						
	Sample Schemes - The Kings School	26/07/2010	31/12/2013	6	-	36	36
	Sample Schemes - Highfields School	26/07/2010	18/04/2014	-	1,719	-	(1,719)
	Sample Schemes - Penn Fields Special School	26/07/2010	18/04/2014	-	386	-	(386)
	Phase 1 - Coppice Performing Arts School	14/03/2011	01/02/2014	-	22	272	250
	Phase 1 - South Wolverhampton & Bilston Academy	28/02/2011	17/09/2014	74	1,204	441	(763)
	Phase 1 - Wednesfield High School	14/03/2011	01/02/2014	138	202	202	-
	Phase 2 - St Edmunds School/ Compton Park Site	23/04/2012	31/01/2015	2,201	1,979	2,719	740
	Phase 2 - Moreton Community School	10/04/2012	31/01/2015	1,222	1,405	1,612	207
	Phase 2 - Our Lady & St Chads Catholic Sports College	12/03/2012	31/01/2015	1,944	586	2,243	1,657
	Phase 2 - Colton Hills Community School	19/03/2013	31/01/2015	1,481	417	1,985	1,568
	Phase 3 - Midpoint Centre	07/01/2013	31/01/2015	1,909	1,651	2,062	411
	Phase 3 - North East Academy (Pendeford)	07/01/2013	31/01/2016	1,380	8,858	8,723	(135)
	Phase 3 - Smestow School	07/01/2013	31/01/2016	4,000	6,835	6,899	64
	Phase 3 - Westcroft School and Sport College	07/01/2013	01/12/2015	1,707	4,032	4,301	269
	Phase 3 - Aldersley High School	07/01/2013	31/01/2016	2,154	6,062	6,055	(7)
	Phase 3 - Moseley Park School	27/05/2013	31/03/2016	1,354	6,127	6,128	1
	Phase 3 - New Park Special School	07/01/2013	31/01/2015	980	1,052	1,052	-
	Phase 3 - Penn Hall Special School	07/01/2013	31/01/2015	1,220	1,228	1,228	-
	Phase 3 - St Peters CoE School	01/04/2013	30/10/2015	3,169	5,580	5,829	249
	Phase 3 - Wolverhampton Girls High School	01/04/2013	30/10/2015	1,619	5,831	5,917	86
	Phase 3 - Traffic Signal Scheme	28/02/2011	01/03/2015	-	228	228	-
	Asbestos Remedial Works	26/07/2010	01/02/2015	43	450	1,350	900
	Funding to be Identified for Kings/Tettenahall Wood Schools VAT Adjustment			-	(1,675)	(1,675)	-
	BSF ICT Infrastructure		01/03/2017	488	17,908	18,855	947

Appendix B

Assistant Director	Scheme	Start Date	End Date	Spend to date	Total Budget	Forecast	Forecast		
				(as at end of September 2013)	(2013/14 - 2017/18)	Expenditure	Variance		
				£000	£000	£000	£000		
	Primary Capital Programme	Warstones Primary School	01/05/2010	31/12/2013	1	-	1	1	
		Oak Meadow Primary School	01/09/2010	31/12/2013	3	-	3	3	
		Bushbury Hill Primary School	01/09/2010	31/12/2013	4	3	4	1	
		Bilston CofE Primary School	01/05/2010	31/12/2013	11	25	25	-	
	14-19 Diploma Exemplar Learning Centre		01/11/2010	31/12/2013	18	167	167	-	
	Other Projects	School IT (LA Loans)		31/03/2014	80	-	80	80	
	Keren Jones	Partnerships	LPSA Reward Grant - Payment to Partners		31/03/2014	-	35	35	-
		Civic Halls & Museums	Archive Services Relocation Molineux Hotel	01/04/2012	31/03/2014	-	59	59	-
			Civic Hall Improvements	01/06/2012	31/03/2014	44	114	114	-
			Midland Box Office (Invest to Save)		31/10/2013	-	75	75	-
Newhampton Art Centre Improvements			01/07/2012	31/03/2014	-	154	154	-	
				<b>TOTAL</b>	<b>40,253</b>	<b>260,779</b>	<b>265,086</b>	<b>4,307</b>	

## Amendments to Existing Schemes (no impact on overall budget)

Scheme	Start Date	End Date	Budget £000	Comments
Relocation of Disability Team from Pond lane to Albert Road	01/12/2013	01/04/2014	50	Approved expenditure funded by the Community Capacity Investment Grant will be used for the Albert Road Refurbishment. This was part of the £888,000 ring fenced Community Capacity Grant to finance Adult Social Care schemes.
Co-location Programme	01/01/2014	31/03/2015	596	Expenditure of £358,000 funded by unspent Co-location grant and £238,000 through Prudential Borrowing is to be used to improve accommodation in MAST Centres, Children's Centres and Family Support Centres. These funds have been assumed within the capital programme since 2010/11.
Structural Maintenance	01/12/2013	01/04/2014	11,636	Within the carriageway and footway maintenance programme, approval is sought to increase the budget for Deyncourt Road from £133,000 to £310,000, beyond the level of delegated authority, previously approved. This is part of a rolling programme, so there is no effect on the capital programme budgets.



## Reduction in Budget of Existing Schemes

<b>Scheme</b>	<b>Start Date</b>	<b>End Date</b>	<b>Budget £000</b>	<b>Comments</b>
The Electronic Social Care Records Scheme	01/02/2013	30/03/2015	(150)	The scheme is now a forecast to spend £568,000, down from £718,000. This results in a reduced borrowing requirement of £150,000.
The Capital Maintenance and Basic Need Programme within Schools	01/04/2013	31/03/2014	(1,776)	A reduction in the Capital Maintenance and Basic Need Grant allocation.
Rationalisation Initiatives	01/04/2012	01/03/2013	(20)	There is no additional forecast spend for this scheme, and so the additional budget is no longer required.
<b>Total</b>			<b>(1,946)</b>	

## Virements

## Virements Requiring Approval

Directorate	Scheme	Virement Required £000	Comments
Delivery	ICT Developments - Disaster Recovery	(100)	The schedule of works will be re-prioritised.
	Provision for Future Programmes	(19)	Existing budget will be re-allocated to prioritise sustainability works.
Education and Enterprise	I54 Access and Infrastructure Scheme	(400)	To establish separate I54 schemes.
	City Centre	(2,250)	Delivery of City Centre and wider regeneration is being prioritised through the Block 10 and 11 Interchange scheme.
	South Side	(1,050)	Negotiations for property acquisitions are on-going, but due to the economic downturn fewer resources are required.
<b>Sub Total</b>		<b>(3,819)</b>	
Delivery	ICT Developments - General Programme	100	To cover costs associated with mainframe decommissioning, where solutions are provided within Agresso. This includes Pensions (PENC), Periodic Receipts Register (PRR), Schedule of Rates Catering (SOR), Technical Ordering (ORT), Fuel Issue System (FIS), Technical Salaries (TEC) and Public Building Cleaning (PBC).
	Installation of Electric Vehicle Charging Points	19	To fund a new scheme totalling £47,600 as referenced in Appendix G.
Education and Enterprise	I54 Travel Plan	400	To fund a new scheme totalling £1.8 million funded through a £400,000 virement and £1.4 million of S106 grant as referenced in Appendix G.
	Block 10 Wolverhampton Interchange Project	440	New scheme totalling £10.6 million funded through a £3.0 million virement and £7.6 million of Prudential borrowing as referenced in Appendix G. The Cabinet report dated 18th September 2013 gave approval to vire £3.0 million to the Block 10 and 11 Wolverhampton Interchange Project from City Centre, so approval is required for virements from both City Centre and Southside.
	Block 11 Wolverhampton Interchange Project	2,560	
	Youth Zone	300	Overspend forecast against scheme due to works relating to an electricity substation, additional budget required.
<b>Sub Total</b>		<b>3,819</b>	
<b>TOTAL</b>		<b>-</b>	

## Virements to Note

Directorate	Scheme	Virement Required £000	Comments
Education and Enterprise	City Centre	(600)	The Cabinet report dated 12th November 2013 gave approval to vire £600,000 to Canalside Quarter. Delivery of City Centre and wider regeneration is being prioritised through the Canalside Quarter scheme.
	South Side	(850)	Negotiations for property acquisitions are on-going, but due to the economic downturn fewer resources are required. The Cabinet report dated 11th September 2013 gave approval to vire £850,000 to High St Link.
	Schemes not yet identified	(1,549)	£1.2 million of Basic Need and £300,000 Capital Maintenance grant previously uncommitted but earmarked to be used for the Primary School Expansion programme, as per the report to dated 24th September 2013.
<b>Sub Total</b>		<b>(2,999)</b>	
Education and Enterprise	Canalside Quarter Regeneration	600	New scheme totalling £1.2 million to construct and commission a new LPG plant. This will be part funded by a £600,000 contribution from the Growing Places Fund. The £600,000 use of Council Resources has potential to be reduced, dependant on contributions from Consortium members. Full details are included within the 13th November 2013 report, Facilitating Regeneration in the Canalside Quarter.
	High Street Link	850	As the negotiation process is well underway the estimations of forecast expenditure, namely compensation costs are more accurate.
	Expansion of Primary School Places	1,549	To fund a new scheme totalling £13.3 million funded through a £1.5 million virement and £11.8 million of ring-fenced capital receipts as referenced in Appendix G.
<b>Sub Total</b>		<b>2,999</b>	
<b>TOTAL</b>		<b>-</b>	

**Additional Resource Requests for Existing Schemes**

**Financed Externally**

Directorate	Scheme	Current Budget £000	Current Forecast £000	Additional Resource Required £000	Financing	Reasons for additional resource
Education and Enterprise	I54 Access and Infrastructure *	17,889	20,194	1,151	Contribution from other Local Authorities	£1.2 million additional contribution is due from Staffordshire County Council. This brings their total future contribution to £3.3 million. Of this only £500,000 has been assumed within the Capital Programme as the remaining balance is dependant on capital receipts. Although there will be no long term indebtedness, due to uncertainty around the timing of these receipts, there will be a requirement to fund the balance of the expenditure through short term borrowing. This will be offset by a reduction of borrowing in future years.
	Local Sustainable Transport fund	570	1,982	1,412	Grant Contribution	£1.1 million of Grant Contributions from Section 31 MS Grant and Canals & Rivers Trust together with £330,000 of Local Pinch Point Funding.
	Integrated Transport	7,163	7,664	501	Grant Contribution	
	Local Safety Schemes	500	1,490	990	Grant Contribution	
	Walking, Cycling, Safer Routes To Schools and Minor Improvements	355	755	400	Grant Contribution	£1.9 million of Local Pinch Point Funding.
	Building Schools for the Future *	72,087	77,597	947	Grant Contribution	Standards Fund Grant contribution to fund ICTS.
	Schools Devolved Formula Capital	2,912	3,598	686	Grant Contribution	Schools Devolved Grant allocation for 2013/14.
<b>Sub Total</b>		<b>101,476</b>	<b>113,280</b>	<b>6,087</b>		

Financed Internally

Directorate	Scheme	Current Budget £000	Current Forecast £000	Additional Resource Required £000	Financing	Reasons for additional resource
Community	Parks Refurbishment Programme	2,316	2,326	10	Borrowing	Grapes Pool Park requires an additional £10,000 for rectification work following the contractor going into liquidation.
Education and Enterprise	Building Schools for the Future *	72,087	77,597	365	Revenue Contributions for Capital Outlay (RCCO)	£398,000 of revenue contribution has been received from schools which covers the variations made to approved building specifications. This is partly offset by a reduction in council resources of £33,000.
	I54 Access and Infrastructure *	17,889	20,194	1,554	Borrowing/ Receipts	£1.6 million of additional capital receipts have been identified which relates specifically to the I54 site and so will be ring-fenced. There is a total of £1.6 million of ring-fenced I54 capital receipts, of which only £61,000 has been assumed within the Capital Programme. It is uncertain when the remaining balance of receipts will be received and so there will be a requirement to fund this expenditure through Prudential Borrowing in the meantime.
	Sample Schemes - The Kings School	-	30	30	Borrowing/ Receipts	A schedule of works has resulted in increased expenditure of £3.0 million for the BSF project. The sale of the Jennie Lee Centre was part ring-fenced to these works, as referenced in the report to Cabinet dated 24th September 2013 . The agreed sale price is £4.1 million, with £1.1 million being allocated to existing schemes; Investment in LEP and Barnhurst Playing Fields, which have incurred borrowing in previous years. £400,000 has been received and so is assumed within the Capital Programme. Due to uncertainty around the timing of the £3.7 million balance of the receipt, there will be a requirement to fund this expenditure through borrowing in the medium term.
	Phase 1 - Coppice Performing Arts School	22	272	250		
	Phase 1 - South Wolverhampton & Bilston Academy	1,204	1,228	24		
	Phase 2 - St Edmunds School/ Compton Park Site	1,979	2,719	740		
	Phase 2 - Moreton Community School	1,405	1,531	126		
	Phase 2 - Our Lady & St Chads Catholic Sports College	586	802	216		
	Phase 3 - Midpoint Centre	1,651	2,062	411		
	Phase 3 - Westcroft School and Sport College	4,032	4,301	269		
	Phase 3 - Aldersley High School	6,062	6,098	36		
Phase 3 - Wolverhampton Girls High School	5,831	5,859	28			
Asbestos Remedial Works	450	1,350	900			
<b>Sub Total</b>		<b>115,514</b>	<b>126,369</b>	<b>4,959</b>		
<b>Total</b>				<b>11,046</b>		

\* These schemes are both internally and externally funded

**New Schemes**

**New Schemes requiring Approval**

Forecast Expenditure						
Directorate	Scheme	Start Date	End Date	£000	Financing	Additional Project details
Education and Enterprise	I54 Travel Plan	01/10/2013	01/06/2014	1,800	Grant Contribution & Virement	£1.4 million of additional Section 106 grant funding will be used. £400,000 is a virement from the I54 Access and Infrastructure budget as referenced in Appendix E.
	Black Country Reinvestment Society (BCRS)	01/11/2013	01/11/2014	150	Borrowing	The Black Country Reinvestment Society have partnered with Wolverhampton City Council (WCC) to provide well-run small and medium sized businesses in Wolverhampton with loans of up to £50,000. BCRS will put in £150,000 and match funding is required from WCC to cover this scheme. The expenditure, with financing through reserves, was approved within a report to Cabinet on 5th March 2013. In order to preserve reserves in light of the current financial challenge facing the council it is recommended that this is now funded from Prudential Borrowing.
Delivery	Installation of Electric Vehicle Charging Points	01/01/2014	31/12/2017	19	Virement	The Office for Low Emission Vehicles (OLEV) is grant funding the installation of recharging infrastructure through regional Plugged-In Places (PIP) schemes, including Plugged in Midlands (PIMs). Grant funding is 60% with no cap, including purchase and installation. This includes 3 years of data collection, line rental, maintenance and warranty. The grant is available until the end of March 2014 for all qualifying installations. This scheme covers the supply and installation of dual Type 2 charging points in short and long stay car parks in St Peter's, Faulkland St, Broad St, Fold St, Peel St, High St Tettenhall, Pinfold St Bilston and Woodhouse Fold Wednesfield. This is estimated to cost up to £47,600 of which £19,040 would be covered by the Council. As referenced in Appendix E, this will be funded through a virement from the Provision for Future Programmes budget.
				Less Virements	(419)	
				<b>Subtotal</b>	<b>1,550</b>	

New Schemes to Note

				Forecast Expenditure		
Directorate	Scheme	Start Date	End Date	£000	Financing	Additional Project details
Education and Enterprise	Wolverhampton Interchange, Block 10	01/10/2013	01/06/2015	8,010	Borrowing & Virement	£7.6 million of additional Prudential Borrowing is required. £440,000 is a virement from existing approved City Centre budget, as referenced in Appendix E. This was approved by Cabinet in the Block 10 and 11 Interchange report dated 18th September 2013.
	Expansion of Primary School Places	01/10/2013		13,349	Borrowing/ Receipts & Virement	The 2014 Primary School Expansion Programme has approved expenditure of £13.3 million, as per Cabinet Report dated 24th September 2013. £11.8 million will be financed through ring-fenced capital receipts arising from the sale of various school sites. Due to the uncertainty around timing, these have not been assumed in the Capital Programme and so there will be a requirement to fund this through Prudential Borrowing in the medium term. £1.5 million is a virement from existing grant funding, as referenced in Appendix E.
				Less Virements	(1,989)	
				<b>Subtotal</b>	<b>19,370</b>	
				<b>TOTAL</b>	<b>20,920</b>	

## Summary of capital programme

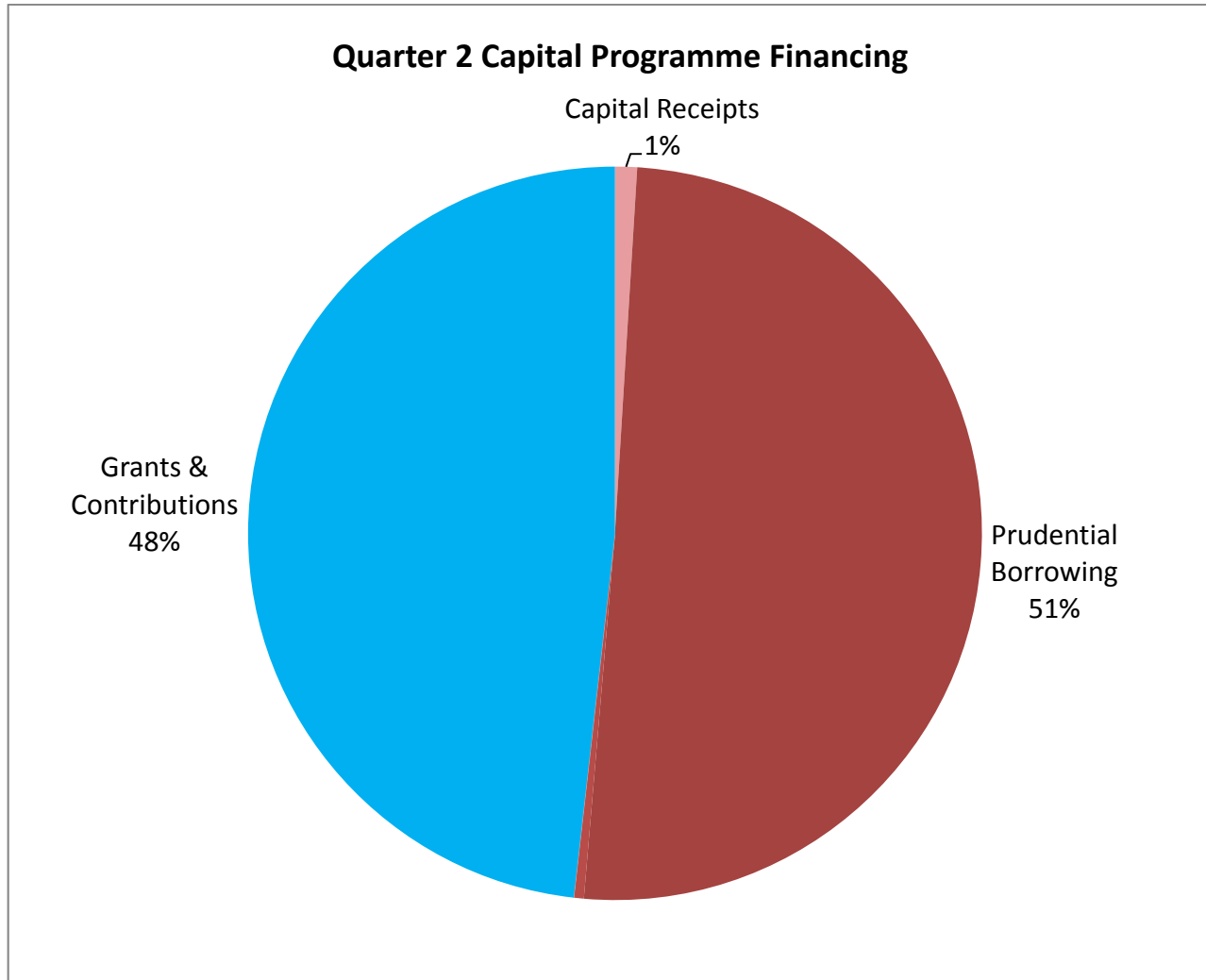
Directorate	Forecast £000					TOTAL
	2013/14	2014/15	2015/16	2016/17	2017/18	
<b>Expenditure</b>						
Community	8,099	5,968	672	-	-	14,739
Delivery	18,030	14,145	10,985	4,157	2,000	49,317
Education & Enterprise	124,647	74,915	13,252	9,442	4,487	226,743
<b>Total Programme</b>	<b>150,776</b>	<b>95,028</b>	<b>24,909</b>	<b>13,599</b>	<b>6,487</b>	<b>290,799</b>
<b>Financing</b>						
Internal Resources	58,983	66,309	17,322	6,012	2,000	150,626
External Resources	91,793	28,719	7,587	7,587	4,487	140,173
<b>Total Resources</b>	<b>150,776</b>	<b>95,028</b>	<b>24,909</b>	<b>13,599</b>	<b>6,487</b>	<b>290,799</b>



## Analysis of Capital Programme Financing

<b>Financing</b>	<b>Total £000</b>
<b>Expenditure</b>	290,799
<b>Internal Resources</b>	
Capital Receipts	2,811
Prudential Borrowing	146,529
Revenue Contributions	1,251
Reserves	35
<b>Subtotal</b>	150,626
<b>External Resources</b>	
Supported Borrowing	-
Grants & Contributions	140,173
<b>Subtotal</b>	140,173
<b>Total</b>	<b>290,799</b>

**Graphical Representation of Financing**



**Appendix K**

**Summary of Receipt Assumptions**

	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL	Future Years/No estimated timing
<b><u>Assumed within Capital Programme</u></b>							<b><u>Not assumed</u></b>
Non- ringfenced General Fund	2,031	300	-	-	-	2,331	6,528
Jennie Lee ringfenced	419	-	-	-	-	419	3,750
I54 ringfenced receipt	61	-	-	-	-	61	1,617
Primary Schools ringfenced	-	-	-	-	-	-	14,565
<b>TOTAL RECEIPTS</b>	<b>2,511</b>	<b>300</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2,811</b>	<b>26,460</b>
I54 contribution	534	5				539	2,804
<b>TOTAL CONTRIBUTION (RECEIPT RELATED)</b>	<b>534</b>	<b>5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>539</b>	<b>2,804</b>

## Appendix L1

## 2013/14 ICTS Capital Programme

ICT Capital Programme Quarter 2 2013/14	2013/14	2014/15	2015/16	2016/17	2017/18
	£000	£000	£000	£000	£000
<b>Main Programme:</b>					
<u>Security Enhancement [1001]</u>					
Enterprise Anti Virus	311	-	-	-	-
Network Access Control	30	-	-	-	-
Network Intruder Detection	10	-	-	-	-
	351	-	-	-	-
<u>Refresh [1002]</u>					
Microsoft Enterprise Agreement	254	1,000	-	-	-
	254	1,000	-	-	-
<u>Storage Refresh [1003]</u>					
Increase Storage Capacity	596	-	-	-	-
Replace NEO4000 tape library	160	-	-	-	-
	756	-	-	-	-
<u>Upgrades [1004]</u>					
Replace / Upgrade Firewalls	197	-	-	-	-
Email labelling for GCSx	60	-	-	-	-
Web Filtering and Email Filtering replacement / upgrade	317	-	-	-	-
SQL Upgrade	21	-	-	-	-
Infra Upgrade	1	-	-	-	-
Exchange 2010	8	-	-	-	-
MOSS 2010	-	-	-	-	-
HR OPAS Module	-	-	-	-	-
Qlikview	28	-	-	-	-
Enterprise SFTP Solution	100	-	-	-	-
Corporate Data Network - contract renewal	-	300	-	-	-
	732	300	-	-	-
<u>Infrastructure Upgrades [1005]</u>					
IP Telephony	114	-	-	-	-
Windows 7 Migration	100	-	-	-	-
Replace smaller mainframe applications	200	-	-	-	-
Core network infrastructure upgrade	110	-	-	-	-
Network Hardware Refresh	60	30	30	30	-
SSL / VPN Remote Access replacement / upgrade	129	-	-	-	-
Replace public network infrastructure	99	-	-	-	-
Expand production VM environment and production DMZ environment	32	30	30	30	-
Secondary schools network	53	-	-	-	-
DASS to SIP trunking	8	-	-	-	-
SX2000 decommissioning	3	-	-	-	-
SCCM	-	-	-	-	-
SCOM	-	-	-	-	-
Thin client solution	250	-	-	-	-
Two factor authentication replacement / upgrade	60	-	-	-	-
	1,218	60	60	60	-
<u>Data Centres [1006]</u>					
Cold aisle containment	20	-	-	-	-
Uninterruptable Power Supply (UPS) capacity upgrade	14	-	-	-	-
Environmental monitoring	15	-	-	-	-
Additional Data Cabinets	12	6	6	-	-
Air conditioning	64	-	-	-	-
Additional capacity (schools)	40	-	-	-	-
Mainframe decommission and deep clean	20	-	-	-	-
	185	6	6	-	-
<b>Main Programme</b>	<b>3,496</b>	<b>1,366</b>	<b>66</b>	<b>60</b>	<b>-</b>
<b>Desktop Refresh</b>	<b>1,003</b>	<b>1,000</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Disaster Recovery</b>	<b>714</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total ICTS Capital Programme</b>	<b>5,213</b>	<b>2,366</b>	<b>66</b>	<b>60</b>	<b>-</b>

## Appendix L2

## 2013/14 Education and Enterprise: Corporate Asset Management

Statutory Compliance Measures	BUDGET PROJECTION £000
<b>Programme of works for 2013/14- Requiring Approval</b>	
Alan Garner Centre - Electrical re-wiring	1
Civic Centre - DDA Works to 3rd Floor Committee Room	5
Finchfield Library - Electrical re-wiring	2
Graiseley Music School - Electrical re-wiring	4
Kingswood Centre - Works per Fire Risk Assessment	18
Newhampton Arts Centre - Electrical re-wiring	7
Northwood Park Office - Electrical re-wiring	6
Parkfields Centre - DDA Works to WC	5
Wolverhampton City Stop - Electrical re-wiring	1
	<b>49</b>
<b>Programme of works for 2013/14- To Note</b>	
Bantock House	9
Bilston Library & Museum	7
Bradley Day Centre	1
Bradley Resource Centre	1
Civic & Wulfrun Halls	30
Croft Resource Centre	1
Danescourt Cemetery	1
Gatis St Adventure Playground	3
Hall Green Cemetery	5
Kingswood Residential Centre	9
Little Civic	60
Lunt CC	1
Merry Hill House	3
Neil Docherty Education & Resource Centre	1
Nelson mandela House	11
Peach Tree Adventure Playground	1
Penn Library	3
Tettenhall Library	1
Wednesfield Mortuary - Alfred Squire Road	1
Woden Resource Centre	2
	<b>151</b>
<b>TOTAL</b>	<b>200</b>
<b>Reserve schemes for 2013/14- To Note</b>	
<b>to be managed within budget in line with changing priorities</b>	
All Saints CC	13
Ashmore Park YC	5
Bilston CC	15
Bradmore CC	18
Bradmore CC	22
Civic & Wulfrun Halls	45
Corner House	2
Dovecotes CC	1
Dunstall CC	11
Dunstall CC	10
Eastfield CC	2
Heath Town CC	14
Lanesfield CC	5
Lunt CC	12
Magistrates Courts	30
Magistrates Courts	45
Oxley Moor House	19
Portobello CC	9
St Chads CC	11
St Chads CC	22
Woodcross CC	13
	<b>324</b>

## Appendix L2

<b>Minor Works Programme for Adults</b>	<b>BUDGET PROJECTION £000</b>
<b><u>Programme of works for 2013/14- Requiring Approval</u></b>	
Bradley Day Centre - Electrical works	7
	<b>7</b>
<b><u>Programme of works for 2013/14- To Note</u></b>	
Blakenhall Resource Centre	12
Bradley Resource Centre	13
Bradley Day Centre - Door Entry System	10
Maltings Pathway Project - Fordhouse Rd Industrial Estate	5
Merry Hill House	19
Nelson Mandela House	30
Recovery House	10
Woden Resource Centre	11
	<b>110</b>
<b>TOTAL</b>	<b>117</b>
<b><u>Reserve Schemes 2013/14- Requiring Approval</u></b>	
<b>to be managed within budget in line with changing priorities</b>	
Blakenhall House Resource Centre - Electrical works	27
Bradley Lodge - Electrical Works	6
	<b>33</b>

<b>Minor Works Programme for Children's</b>	<b>BUDGET PROJECTION £000</b>
<b><u>Programme of works for 2013/14- To Note</u></b>	
Blakenhall Family Resource Centre	21
Bramerton Close Childrens Home	8
Stowheath Childrens Resource Centre	25
Upper Pendeford Farm	5
Windmill Childrens Resource Centre	8
	<b>67</b>
<b><u>Reserve Schemes- Requiring Approval</u></b>	
<b>to be managed within budget in line with changing priorities</b>	
Barnhurst Family Day Centre	12
Beldray Building	3
Red Gables - External lighting to pathway	4
Stowheath House - Heating controls	10
The Avenues Family Centre	5
Upper Pedeford Farm - External lighting to walkway	6
54 Windmill Lane - Heating controls	10
	<b>50</b>
<b><u>Reserve Schemes- To Note</u></b>	
<b>to be managed within budget in line with changing priorities</b>	
Youth Offending Team - Beckminster House	16
	<b>16</b>

## Appendix L3

## 2013/14 Education and Enterprise: Schools Skills and Learning Capital Maintenance and Basic Needs Grant

Site	Description	Grant (£000)
<b><u>2012/13 Asbestos</u></b>		
Westcroft Primary	Asbestos removal	3
Woodfield Infant	Asbestos removal to cupboards door	1
Edward the Elder Primary	Asbestos removal to corridor partition	4
Graiseley Primary	Asbestos removal prior to boiler replacement work 13/14	15
Bantock Primary	Asbestos removal prior to boiler replacement work 13/14	10
		<b>32</b>
<b><u>2012/13 Schools Access</u></b>		
St Bartholomew's CE Primary	Footpath to main entrance	7
		<b>7</b>
<b><u>Capital Maintenance 2013/14 - £3,353,982</u></b>		
<b><u>Rewiring and Electrical Upgrades - £164,500</u></b>		
Bantock Primary	Rewiring and Electrical Upgrades	6
Castlecroft Primary	Rewiring and Electrical Upgrades	13
Dovecotes Primary	Rewiring and Electrical Upgrades	13
Goldthorn Park Primary	Rewiring and Electrical Upgrades	20
Graiseley Primary	Rewiring and Electrical Upgrades	18
Manor Primary	Rewiring and Electrical Upgrades	16
Oxley Primary	Rewiring and Electrical Upgrades	8
Parkfield Primary	Rewiring and Electrical Upgrades	9
Perry Hall Primary	Rewiring and Electrical Upgrades	10
Springvale Primary	Rewiring and Electrical Upgrades	7
St Andrew's CE Primary	Rewiring and Electrical Upgrades	20
Whitgreave Junior	Rewiring and Electrical Upgrades	9
Wodensfield Primary	Rewiring and Electrical Upgrades	16
		<b>165</b>
<b><u>Asbestos - £50,000</u></b>		
Asbestos removal contingency		44
St Bartholomew's CE Primary	Asbestos removal to boiler house	5
Whitgreave Infants School	Remove cement based panels from cloakroom	2
		<b>50</b>
<b><u>Development Plans - £640,000</u></b>		
Bushbury Nursery	Toilet refurbishment, Storage/Acoustics	40
Dovecotes Primary	Linked to suitability / sufficiency audit	40
East Park Primary	Linked to suitability / sufficiency audit	40
Eastfield Primary	Refurbish Year 1 Classrooms	40
Graiseley Primary	Linked to suitability / sufficiency audit	40
Long Knowle Primary	Linked to suitability / sufficiency audit	40
Palmers Cross Primary	Reconfigure Car Park	40
Phoenix Nursery	Extend Office space	40
St Alban's CE Primary	Linked to suitability / sufficiency audit	40
Stow Heath Primary	Replacement Windows KS1	40
Westacre Infant	Replacement lighting	40
Whitgreave Infant	Reconfigure teaching space, storage, break-out space	40
Whitgreave Junior	Reconfigure teaching space, storage, break-out space	40
Woodfield Infant	Lighting, Learning Walls	40
Woodfield Junior	Lighting, Toilet / Cloak Refurbishment	40
Woodthorne Primary	Linked to suitability / sufficiency audit	40
		<b>640</b>
<b><u>Fire Safety - £ 150,000</u></b>		
Manor Primary	Smoke detection / fire doors	30
Dovecotes Primary	Fire alarm / fire doors	50
Programme to be confirmed in liaison with Fire Service, following agreed inspection		70
		<b>150</b>
<b><u>Schools Access - £80,000</u></b>		
Penn Hall School	Outreach Technology	40
Long Knowle Primary	Access works	10
Lanesfield Primary	Access works	10
Programme to be confirmed in liaison with Schools access strategy group		20
		<b>80</b>

**Appendix L3**

Site	Description	Grant (£000)
<u>Boiler Upgrades - £400,000</u>		
Bantock Primary	Replacement boiler and HWS	60
St Bartholomew's CE Primary	Replacement boiler and controls to Nursery	40
Trinity CE Primary	Replacement boiler and convectors	80
West Park Primary	Replacement boiler and controls	120
Woodfield Infants	Replacement boiler and controls	100
		<b>400</b>
<u>Replacement Heating Pipework / Upgrade Heaters / Controls - £358,000</u>		
Bilston Nursery	Replace gas water heater	7
Christ Church CE Junior	Replacement fan convectors and main	50
D'Eyncourt Primary	Replacement fan convector heaters	10
East Park Primary	Replacement heating pumps	16
Elston Hall Primary	Replace DHW cylinder and kitchen interlock	10
Parkfield Primary	Upgrade HWS kitchen	10
Sprimgdale Junior & Infant	Replacement heating pipework in service ducts	150
St Stephen's CE Primary	Replacement control panel	10
Uplands Junior	Replacement fan convector heaters	45
Villiers Primary	Replacement fan convectors and controls	30
Wodensfield Primary	Replacement fan convector heaters	20
		<b>358</b>
<u>Window Upgrade £200,000</u>		
Castlecroft Primary	Replacement classroom windows	50
Dovecotes Primary	Replacement classroom windows	45
Graiseley Primary	Replacement classroom windows	50
Long Knowle Primary	Replacement classroom windows	25
Wodensfield Primary	Replacement classroom windows	30
		<b>200</b>
<u>Roof Replacement - £375,000</u>		
Eastfield Primary	Replacement roof coverings KS1 and KS2	30
Broadmeadow Nursery	Valley gutter replacement	10
St Thomas' CE Primary School	Replacement roof coverings	40
Whitgreave Infant	Replacement roof coverings	45
Loxdale Primary	Replacement roof coverings	40
St Stephen's CE Primary	Replacement roof coverings	20
Christ Church CE Infant	Replacement roof coverings to Admin	50
Fieldview Primary	Replacement roof covering to corridor	40
Bantock Primary	Roof light replacement	65
Wodensfield Primary	Replacement roof coverings	35
		<b>375</b>
<u>Toilet Upgrade - £80,000</u>		
St Bartholomew's CE Primary	Toilet refurbishment	20
Uplands Junior	Toilet refurbishment	60
		<b>80</b>
<u>Access Road - £15,000</u>		
St Peter's CE / St Edmunds R	Access road repairs	15
		<b>15</b>
<b>Balance of Capital Maintenance - £841,482</b>		
Programme to be confirmed		665
Hill Avenue Primary	Emergency pipework repairs	7
Goldthorn Park Primary	New DPC and floor slab/ drainage	70
Oxley Primary	Replacement boilers	35
Oxley Primary	Removal of single skin extension (Structure failing)	40
Warstones Primary	Structural Works	25
		<b>841</b>
<b><u>BASIC NEED 2013 - 2015 - £1,133,172</u></b>		
<u>Primary Expansion Programme</u>		
Schemes to be agreed		1,555
		<b>1,555</b>
<b>2013/14 Programme Total</b>		<b>4,948</b>



Risk Number	Category	Risk- cause/event	Impact of Risk	Probability of Risk (P) Score 1-5	Impact of Risk (I) Score 1-5	Score (PxI)	Red (R) Amber (A) Green (G)	Risk Control Measures	Owner	Review Period
1	Financial and Budget Management	Ineffective budget management.	Overspend against budget requiring either savings on other projects or additional prudential borrowing having an adverse impact on the Revenue Budget.	2	4	8	A	<ul style="list-style-type: none"> <li>• Monthly monitoring at Service Level and to capital programme working group.</li> <li>• Quarterly monitoring to Cabinet Members.</li> <li>• Capital Programme report.</li> </ul>	Assistant Director Finance	Quarterly
2	Financial and Budget Management	Loss of ICT facilities e.g. due to failure of systems, loss of key personnel and/or disaster recovery arrangements.	Lack of robust financial information on which to set and monitor budgets, leading to increased projected expenditure requiring other project savings to be identified or the need for additional prudential borrowing having an adverse impact on the Revenue Budget.	3	4	12	A	<ul style="list-style-type: none"> <li>• ICT disaster recovery project and arrangements.</li> <li>• Independent project management systems hold information in some instances.</li> </ul>	Assistant Director Finance	Quarterly
3	Financial and Budget Management	Inability to recover all VAT associated with capital expenditure.	Overspend against budget requiring either savings on other projects or additional prudential borrowing having an adverse impact on the Revenue Budget.	4	3	12	A	<ul style="list-style-type: none"> <li>• Close scrutiny of new capital schemes to establish potential VAT implications in order that they can be effectively managed</li> </ul>	Assistant Director Finance	Monthly
4	Income and Funding	Inability to deliver disposal programme due to: affordability of Corporate Schemes preventing release of sites; local community and member opposition to site disposals.	<p>Loss of funding requiring projects to be delayed / stopped, or additional prudential borrowing having an adverse impact on the Revenue Budget.</p> <p>Unable to meet financial commitments e.g. repayment of Regional Infrastructure Funding requiring additional prudential borrowing having an adverse impact on the Revenue Budget.</p>	3	4	12	A	<ul style="list-style-type: none"> <li>• Robust Project Management Systems.</li> <li>• Members Property Group</li> <li>• Reporting to Capital Programme Working Group.</li> </ul>	Assistant Directors	Monthly
5	Income and Funding	Decline in market for land and property resulting in failure to dispose of land or reduced level of receipt.	Reduced level of receipts requiring either a reduction in other areas of the Capital Programme or additional prudential borrowing having an adverse impact on the Revenue Budget.	2	3	6	A	<ul style="list-style-type: none"> <li>• Pro-active management of disposals to maximise receipts, which could include conscious decision to defer sales etc.</li> </ul>	Assistant Director Regeneration	Monthly
6	Income and Funding	Reduction in level of Government funding after announcements made and programme committed.	Legal / political commitment to projects requiring either a reduction in other areas of the Capital Programme or additional prudential borrowing having an adverse impact on the Revenue Budget.	2	4	8	A	<ul style="list-style-type: none"> <li>• Close monitoring of central government policy decisions.</li> <li>• Building in contingency plans within the capital programme.</li> </ul>	Assistant Director Finance	Monthly

Risk Number	Category	Risk- cause/event	Impact of Risk	Probability of Risk (P) Score 1-5	Impact of Risk (I) Score 1-5	Score (Pxl)	Red (R) Amber (A) Green (G)	Risk Control Measures	Owner	Review Period
7	Income and Funding	Inability to deliver outcomes / outputs in accordance with grant / S106 conditions.	Clawback of grant by funding organisations requiring either other project savings or additional prudential borrowing having an adverse impact on the Revenue Budget.	2	4	8	A	<ul style="list-style-type: none"> <li>• Robust project management to monitor outputs / outcomes.</li> <li>• Reality check of business cases to support bids.</li> </ul>	Assistant Director Finance	Monthly
8	Income and Funding	Grant drawn down against ineligible project expenditure.	Clawback of grant by funding organisations requiring either other project savings or additional prudential borrowing having an adverse impact on the Revenue Budget.	1	4	4	A	<ul style="list-style-type: none"> <li>• Careful, detailed monitoring of project expenditure to ensure robust grant claims.</li> </ul>	Assistant Director Finance	Monthly
9	Income and Funding	Availability of borrowing e.g. Central Government capping.	Lack of funding requiring projects to be delayed or stopped.	5	4	20	R	<ul style="list-style-type: none"> <li>• Close monitoring of central government policy decisions.</li> <li>• Building in contingency plans within the Capital Programme.</li> </ul>	Assistant Director Finance	Monthly
10	Income and Funding	Affordability of borrowing.	Lack of funding requiring projects to be delayed or stopped.	3	4	12	A	<ul style="list-style-type: none"> <li>• Building in contingency plans within the Capital Programme.</li> </ul>	Assistant Director Finance	Monthly
11	Third Parties	Contractors ceasing to trade.	Incomplete projects with a need to re-tender for another contractor leading to additional costs requiring either other project savings or additional prudential borrowing having an adverse impact on Revenue Budget.	2	4	8	G	<ul style="list-style-type: none"> <li>• Departments using external service suppliers work closely with them and are kept abreast of their service and business situation. At worst this gives the council notice of emerging problems</li> <li>• Due diligence.</li> </ul>	Assistant Directors	Monthly
12	Third Parties	Delegation of programmes to partners e.g. Wolverhampton Homes.	Ineffective budget management.	2	4	8	A	<ul style="list-style-type: none"> <li>• Regular monitoring meetings and clear stipulation regarding information requirements.</li> </ul>	Assistant Directors	Monthly

Risk Number	Category	Risk- cause/event	Impact of Risk	Probability of Risk (P) Score 1-5	Impact of Risk (I) Score 1-5	Score (PxI)	Red (R) Amber (A) Green (G)	Risk Control Measures	Owner	Review Period
13	Third Parties	Contract delays.	Increased project costs requiring other project savings or additional prudential borrowing having an adverse effect on the Revenue Budget.	4	3	12	A	• Robust project management.	Assistant Directors	Monthly
14	Third Parties	Lack of contractors bidding for work.	Lack of competition, resulting in increased project costs and reduced VFM.	2	2	4	G	• Departments using external service suppliers work closely with them and are kept abreast of their service and business situation. At worst this gives the City Council notice of emerging problems.	Assistant Directors	Monthly
15	Government Policy	Change in government policy requiring capital investment.	Lack of funding requiring other projects to be delayed or stopped or additional prudential borrowing having an adverse impact on the Revenue Budget.	2	4	8	A	• Close monitoring of central government policy decisions. • Building in contingency plans within the Capital Programme.	Assistant Directors	Monthly
16	Service Demands	Change in configuration of services requiring capital investment.	Lack of funding requiring other projects to be delayed or stopped or additional prudential borrowing having an adverse impact on the Revenue Budget.	1	4	4	A	• Building in contingency plans within the Capital Programme.	Assistant Directors	Monthly
17	Service Demands	Demand for service increases due to demographic changes requiring capital investment.	Lack of funding requiring other projects to be delayed or stopped or additional prudential borrowing having an adverse impact on the Revenue Budget.	1	4	4	A	• Close monitoring of service demands to enable the forecasting of pressures. • Building in contingency plans within the Capital Programme.	Assistant Directors	Monthly